



INFORMATION BOOKLET



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A Note from the Directors

Dear

Thank you for giving Ten Talents Capital (TTC) the opportunity to provide you with this information booklet and accompanying Offering Memorandum for your perusal.

We, the Directors, David and Justine Lockwood, have established Ten Talents Capital as a private, boutique investment fund for our friends and family. TTC is designed to generate a high absolute return on your investment without taking excessive risks.

Should you decide to invest with us, it is important for you to know that we have all our personal money invested in TTC and have built the fund from our own capital. As such, we are committed to providing you the best possible long-term investment service as our own financial success is inextricably tied with yours.

We undertake to keep you informed of the growth of your investment by providing quarterly statements emailed directly to you. We are, of course, an email or phone call away if you need a more immediate update or have any questions.

In this information booklet we outline the reasons for choosing Ten Talents Capital to be your investment manager and highlight some of the things that make us unique. We will also explain what steps you must take if you decide to join us, and what to expect.

David regularly posts a blog to our website <http://tentalents.com.au/blog/>. Please visit this regularly for David's market and political insights. If you have any further queries, please feel free to contact us at any time, day or night.

We believe we can help you realise your financial goals and we look forward to building a long and fruitful partnership with you.

Sincerely,



David Lockwood
Investment Manager



Justine Lockwood
Business Manager

About Us

David Lockwood, our Investment Manager, has invested in shares and options since 2002, and has supported his family from the proceeds since 2010. David has created proprietary quantitative trading systems which have proved very successful over that time. He is committed to developing new, better systems to cope with constantly changing markets. He started the fund in response to requests from family and friends who were dissatisfied with the performance and service of Australia's investment community. As a result, we founded Ten Talents Capital Limited, a private fund limited to 99 family members and friends.

Justine Lockwood, our Business Manager and compliance officer, has wide experience in banking, finance, property management and development, private business, and government. She has founded and managed successful small businesses in the property management and retail sectors. Justine's skills in administration and client relationships will ensure our clients' needs are always given our highest priority. Justine has recently completed an Advanced Diploma in Financial Planning.

Our Philosophy. At Ten Talents Capital we strive to be good stewards of the money entrusted into our care. We dislike hearing investors say that the money they invest in the stock market is their "risk money" which they can "afford to lose". We believe that every dollar is valuable and should be managed with care, even as we understand that the stock market can at times be a dangerous and volatile place. We believe that, so long as we preserve our capital during the bad times, the markets will also reward us in the end if we stay true to our long-term objectives.

We believe our background and investment philosophy are in stark contrast to that of many investment managers. Some managers have a well-deserved reputation for making their living from collecting other people's money and charging high fees, irrespective of returns. In contrast, our fees are tied to our performance and we have our own money in the Fund, ensuring our incentives are aligned with those of our investors.

At Ten Talents Capital we intend to build a Fund that will last a lifetime, creating life-long investment partnerships with our clients.

What We Will Do For You

At Ten Talents Capital, we aspire to be good stewards of our investors' property. Our investment philosophy therefore concentrates on those things that are most important to investors:

- › Optimising the net return from your investment
- › Preserving capital during downturns in the market
- › Minimising risk, and
- › Providing a responsive, professional, and ethical service.

To this end, we offer you the following services:

- › A professional, cutting edge approach to investing which is not available to the majority of Australian retail investors
- › A personal, professional and ethical service
- › Ongoing auditing of investment performance conducted by an independent administrator
- › Quarterly statements showing full details of performance and fees
- › The ability to pay investment proceeds directly into your Bank, Building Society or Credit Union account, and
- › A full profit and loss statement that will be supplied to you at the end of each financial year to assist you with your tax returns.

An investment in Ten Talents Capital is an investment in a long term relationship which we trust will reward you both financially and personally.

Our Fees

Our fees are divided into two components: a management fee and a performance fee.

Management Fee. Our standard management fee is 2% (GST inclusive). This covers our ongoing operating costs. The fee is deducted from your investment (in four installments of 0.5%), at the end of each quarter.

Performance Fee. The performance fee is 20% (GST inclusive) of profits. However, this fee is assessed only if the Fund outperforms the stock market, and then only on the portion of profits that are greater than the stock market's return. For example, if the stock market goes up by 2% in a quarter, and the Fund returns 5%, then we deduct 20%, but only from the 3% excess return.

The Lock-up period and withdrawals

All investors are subject to an initial "lock-up" period of 12 months, similar to a term deposit at a bank. This is to ensure stability in the Fund, to reduce the turnover of the Fund's investments, to minimise overheads, and to encourage all investors to treat the Fund as a long term commitment.

Following the initial 12 month lock-up period, investors may withdraw all or part of their investment on the last day of any month, but must give 30 days' written notice.

How should I prepare to invest?

Before taking the decision to invest with us, we urge you to consult with a taxation professional and/or financial advisor. Although we are confident that TTC represents one of the best investment decisions you could ever make, investors should first consider their overall personal financial circumstances before making a decision to invest with us. In addition, we strongly suggest that all prospective investors should diversify their investments and never put all their eggs into one basket.

We urge you to read the Offering Memorandum in its entirety as it contains a great deal of important information relevant to your investment with us. If you have any queries, please don't hesitate to contact us by phone (+610408281581) or email info@tentalents.com.au at any time.

Once you are confident that this is the correct investment strategy for you, please follow the steps below:

1. Complete and detach the Subscription Agreement in Appendix A of the Offering Memorandum using the perforation line (for your convenience, a copy is also located at the end of this booklet).
2. Make copies of your identification forms and have them certified (relevant ID documents are listed in Appendix B and at the end of this booklet).
3. Send the completed Subscription Agreement and relevant Certified documents to:

EMAIL: info@tentalents.com.au

or

MAIL: Ten Talents Capital

PO Box 1234
Mount Barker
SOUTH AUSTRALIA
5251

This application form, and the accompanying Memorandum, authorises us to invest on your behalf and to mingle your money with the money of other investors in the Fund.

Once we have approved your application we will contact you to notify you of our decision. Your investment funds may then be transferred to our Australian account:

	Ten Talents Capital
Bank	Lutheran Laypeople's League
BSB	704-942
Account Name	Ten Talents Capital
Account Number	132949
Reference	Name of Investor

Annexure

The annexure pages contain the following:

- **Discussion: What sort of returns should investors expect?**
- **Subscription Agreement**
- **Investor Identification Documentation Requirements**

Discussion: What sort of returns should investors expect?

In our experience, investors have very different expectations when it comes to their investment returns. Some people seem to think their investment manager should be able to double their money every year. We feel it is important for clients to have realistic expectations as to what can be achieved and what kind of risks are involved when pushing for higher returns.

The US stock market has generated roughly 6.5%-7% returns after inflation over the past 200 years,¹ and the Australian stock market has delivered roughly the same returns. However, this is before fees and taxes. The average investor in a normal investment fund has usually received lower long term returns than the general stock market.

Nevertheless, a lucky few have put their money with outstanding managers who have delivered much higher returns. Warren Buffett, for example, is widely considered to be the greatest manager of all time. His investors have enjoyed returns of roughly 21% per year on average since 1965, as can be seen in the table below (note: these figures are not adjusted for inflation).

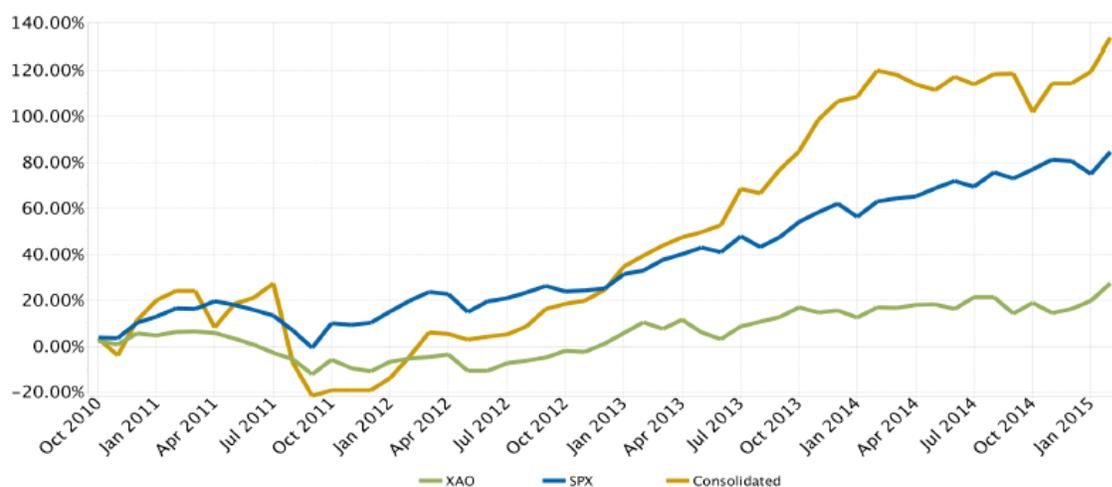
¹ Stocks for the Long Run, by Jeremy J. Siegel, McGraw-Hill Companies; 4th edition (November 27, 2007, [ISBN 978-0-07-149470-0](https://www.mhhe.com/9780071494700))

Berkshire's Performance vs. the S&P 500			
Year	Annual Percentage Change		
	in Per-Share Book Value of Berkshire	in Per-Share Market Value of Berkshire	in S&P 500 with Dividends Included
1965	23.8	49.5	10.0
1966	20.3	(3.4)	(11.7)
1967	11.0	13.3	30.9
1968	19.0	77.8	11.0
1969	16.2	19.4	(8.4)
1970	12.0	(4.6)	3.9
1971	16.4	80.5	14.6
1972	21.7	8.1	18.9
1973	4.7	(2.5)	(14.8)
1974	5.5	(48.7)	(26.4)
1975	21.9	2.5	37.2
1976	59.3	129.3	23.6
1977	31.9	46.8	(7.4)
1978	24.0	14.5	6.4
1979	35.7	102.5	18.2
1980	19.3	32.8	32.3
1981	31.4	31.8	(5.0)
1982	40.0	38.4	21.4
1983	32.3	69.0	22.4
1984	13.6	(2.7)	6.1
1985	48.2	93.7	31.6
1986	26.1	14.2	18.6
1987	19.5	4.6	5.1
1988	20.1	59.3	16.6
1989	44.4	84.6	31.7
1990	7.4	(23.1)	(3.1)
1991	39.6	35.6	30.5
1992	20.3	29.8	7.6
1993	14.3	38.9	10.1
1994	13.9	25.0	1.3
1995	43.1	57.4	37.6
1996	31.8	6.2	23.0
1997	34.1	34.9	33.4
1998	48.3	52.2	28.6
1999	0.5	(19.9)	21.0
2000	6.5	26.6	(9.1)
2001	(6.2)	6.5	(11.9)
2002	10.0	(3.8)	(22.1)
2003	21.0	15.8	28.7
2004	10.5	4.3	10.9
2005	6.4	0.8	4.9
2006	18.4	24.1	15.8
2007	11.0	28.7	5.5
2008	(9.6)	(31.8)	(37.0)
2009	19.8	2.7	26.5
2010	13.0	21.4	15.1
2011	4.6	(4.7)	2.1
2012	14.4	16.8	16.0
2013	18.2	32.7	32.4
2014	8.3	27.0	13.7
Compounded Annual Gain – 1965-2014	19.4%	21.6%	9.9%
Overall Gain – 1964-2014	751,113%	1,826,163%	11,196%

However, Buffett's investors have endured some terrible years as well, such as in 1974 when Buffett lost almost half his investors' money, and 2008 when his fund lost almost a third of its value. Despite these difficult periods, Buffett's investors have been very well rewarded in the long run for their faith and patience.

Because it's a new fund, Ten Talents Capital obviously doesn't have a track record. However, investors may be interested in our unaudited personal returns since October 2010 when we left our jobs in Canberra and began investing full time. The chart below shows that our strategies returned 133% (yellow line) compared to 84% for the US stock market (blue line) and just 27% for the Australian market (green line). Although not shown on the chart, Buffett made roughly 73% over the same time period.

Cumulative Benchmark Comparison



We can also compare our performance against Australia's leading managed funds. The chart overleaf is taken from Money Magazine and shows that, if you got lucky and chose Magellan Global out of the thousands of funds available in Australia, you would have earned an 18.32% annual return over the past five years. Our annual performance over a similar timeframe (4 years, 5 months) was 21.2%, putting us at the top of the list.

Although we do not own a crystal ball and cannot know for sure what the future holds, our systems continue to evolve and improve and we believe our relative outperformance will be maintained in coming years. We have been blessed in recent years, and we look forward to sharing our good fortune with all our new clients.

Sincerely,

David Lockwood

Investment Manager

Ten Talents Capital Limited

<http://tentelents.com.au/blog/>

TOP 5 RETAIL AUSTRALIAN SHARE FUNDS BY 5-YEAR PERFORMANCE

FUND NAME	APIR	MER/ICR %pa	START DATE	1-YEAR RETURN	5-YR RTN %pa	SIZE	STAR RATING
Perpetual Ws Share Plus L/S	PER0072AU	1.50%	14-Mar-03	13.78%	15.41%	\$630m	★★★★★
Perpetual Wholesale Ethical SRI	PER0116AU	1.18%	3-May-02	11.14%	14.86%	\$825m	★★★★★
Perpetual WFIA Perpetual Share Plus L/S	PER0495AU	2.19%	10-Nov-08	12.60%	14.35%	\$14m	★★★★★
Perpetual WFIA Perpetual Ethical SRI	PER0491AU	2.28%	10-Nov-08	9.89%	13.80%	\$26m	★★★★
Sandhurst BMF Sandhurst Ind Share	STL0100AU	2.07%	1-Dec-99	17.01%	12.82%	\$312m	★★★★

TOP 5 RETAIL INTERNATIONAL SHARE FUNDS BY 5-YEAR PERFORMANCE

FUND NAME	APIR	MER/ICR %pa	START DATE	1-YEAR RETURN	5-YR RTN %pa	SIZE	STAR RATING
Magellan Global	MGE0001AU	1.35%	29-Jun-07	20.19%	18.32%	\$7005m	★★★★★
IFP Global Franchise	MAQ0404AU	NAv	17-Nov-04	26.67%	17.61%	\$1486m	★★★★★
Advance International Share Index Ws	ADV0056AU	0.21%	1-Apr-99	24.54%	16.69%	\$1090m	★★★★
PM Capital Global Companies	PMC0100AU	4.68%	28-Oct-98	14.89%	15.94%	\$241m	★★★
Arrowstreet Global Equity	MAQ0464AU	NAv	20-Dec-06	23.95%	15.54%	\$417m	★★★★★

TOP 5 RETAIL MULTI-SECTOR FUNDS BY 5-YEAR PERFORMANCE

FUND NAME	APIR	MER/ICR %pa	START DATE	1-YEAR RETURN	5-YR RTN %pa	SIZE	STAR RATING
BT Class Inv Split Growth	BTA0012AU	1.55%	12-Mar-84	17.54%	12.52%	\$214m	★★★★
North Multi Manager Active High Growth	IPA0070AU	NAv	29-Oct-07	14.93%	11.79%	\$81m	★★★★★
SUMMIT Select Active High Growth Units	NMM0110AU	NAv	1-Sep-99	14.70%	11.61%	\$85m	★★★★
North Index High Growth	NMM0115AU	0.45%	1-Sep-99	15.32%	11.60%	\$315m	★★★★
Perpetual Wholesale Split Growth	PER0066AU	1.16%	17-Mar-99	16.79%	11.54%	\$27m	★★★★

Subscription Documents

Ten Talents Capital Ltd
SUBSCRIPTION AGREEMENT
For participating shares of Ten Talents Capital Limited
C/- Ten Talents Management Limited
PO Box 1234
MOUNT BARKER SA 5251
AUSTRALIA

REGISTRATION REQUIREMENTS:

Specify the type of legal ownership by ticking the appropriate box and complete the name(s) and address(es) of the Applicant(s) :

- | | | | |
|--------------------------|-------------------|-------------------------|-------|
| <input type="checkbox"/> | Single | Name of Subscriber(s): | _____ |
| <input type="checkbox"/> | Tenants in Common | | _____ |
| <input type="checkbox"/> | Joint Tenants | Registered Address(es): | _____ |
| <input type="checkbox"/> | Corporation | | _____ |

I/We hereby apply to invest the sum(s) stated below in the following Classes of Participating Shares of Ten Talents Capital Limited (the "Fund") on the terms and conditions set out in the Offering Memorandum dated 1 January 2017 and subject to its Memorandum and any Articles of Association:

AUD \$ _____

I/We declare that the Participating Shares are not being acquired directly or indirectly in violation of any application law, nor by or on behalf of a US person, and that I am /we are Eligible Investor(s) as defined in the Offering Memorandum.

I/We hereby declare that I/we have carefully and fully read the Offering Memorandum and that I/we fully understand its contents and the risks described therein and have consulted my/our own attorney, accountant or investment adviser with respect to the investment contemplated hereby and its suitability to my/own personal circumstances. I/We acknowledge that the minimum investment in the Shares of the Company is AUD\$5,000. I/We acknowledge that no guarantees have been made to the Subscriber about future performance or financial results of the Fund.

I/We agree that neither this Subscription Agreement nor any term hereof may be changed, waived, discharged or terminated except with the written consent of the Fund's Directors. I/We acknowledge that the subscription for the Shares contained herein may be reduced or rejected by the Directors in consultation with the Investment Manager.

I/We agree

- a. that as an individual subscriber I/we will produce the relevant identification documents as detailed in Appendix B; or
- b. that as a Corporation, we will produce the relevant identification and incorporation documents as detailed in Appendix B; or
- c. as a trust or partnership we agree to produce the relevant certified copies of such documentation as detailed in Appendix B

as requested by the Fund or the Administrator so they might comply with legislation for the prevention of money laundering from time to time in force.

I/We acknowledge that the Fund reserves the right to request any further information which it considers to be in any way necessary to the process of verification.

I/We acknowledge that no redemption payment may be made unless the original subscription agreement has been received by the Administrator and all of the necessary anti-money laundering checks have been completed.

I/We hereby declare, represent and warrant that:

(a) the Participating Shares are to be purchased with funds that are from legitimate sources in connection with our regular business activities and which do not constitute the proceeds of criminal conduct;

(b) the amounts being or to be contributed by me/us to the Fund were not and are not directly or indirectly derived from activities that may contravene federal, state or international laws and regulations, including anti-money laundering laws and regulations presently in force in Anguilla and the jurisdiction where I/we reside;

(c) I/we understand and agree that the Fund prohibits the investment of funds by any persons or entities that are or are acting, directly or indirectly:

(i) on behalf of terrorists or terrorist organisations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the US Treasury Department's Office of Foreign Assets Control ("OFAC"), as such list may be amended from time to time, or any Executive Order administered by OFAC;

(ii) for a Senior Foreign Political Figure, any member of a Senior Foreign Political Figure's immediate family or any close associate of a Senior Foreign Political Figure, unless the Company or its delegates, after being specifically notified by us in writing that we are such persons, conducts further due diligence, and determines that such investment shall be permitted; or

(iii) for a Foreign Shell Bank.

Such persons or entities in (a) through (c) are collectively referred to as "Prohibited Persons";

(d) I am/we are not, nor is any person or entity controlling, controlled by or under common control with me/us, a Prohibited Person, and

(e) to the extent I am/we are acting as agent or nominee in connection with this investment, I/we shall disclose the identity of the person on whose behalf we are acting and state my/our relationship with him/her to the Directors of the Fund once requested in writing to do so.

If any of the foregoing representations and warranties ceases to be true or if the Fund no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Fund may be obligated to freeze my/our investment, either by prohibiting additional investments, declining or suspending any withdrawal requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or our investment may immediately be involuntarily withdrawn by the Fund, and the Fund may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that the Company is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Fund or any of its delegates and each of their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.

I/we acknowledge that due to anti-money laundering requirements operating in Anguilla, the Fund could be requested or required to obtain certain assurances from us, disclose information pertaining to us to governmental, regulatory or other authorities or engage in due diligence or take other related actions in the future.

I/We understand, acknowledge, represent and agree that it is the Fund's policy to comply with Requirements to which it is or may become subject and to interpret them broadly in favour of disclosure. I/We hereby agree, and by reason of owning any Participating Shares will be deemed to have agreed, that I/we will provide additional information or take such other actions as may be necessary or advisable for the Fund (in the Fund's sole judgment) to comply with any Requirements, related legal process or appropriate requests (whether formal or informal) or otherwise. I/We hereby consent, and by reason of owning any Participating Shares will be deemed to have consented, to disclosure by the Fund and its agents to relevant third parties of information pertaining to us in respect of Requirements or information requests related thereto. I/We also represent that we shall at all times comply with any Requirements.

I/We agree that by executing this document I/we authorize the Administrator to provide the Investment Manager and the Fund's legal counsel, with information regarding my/our account, until said authorization is revoked by the me/us in writing to the Administrator.

I/we agree to indemnify and hold harmless the Fund, its delegates and each of their respective officers, directors, shareholders, partners, employees and agents (each an "Indemnitee"), against any loss, liability, cost or expense (including attorneys' fees, taxes and penalties) which may result, directly or indirectly, from any misrepresentation or breach of any condition, covenant or agreement set forth herein or in any other document delivered by me/us to the Fund. The rights, obligations and relationships of the parties under the Articles and the Offering Memorandum shall be governed by and construed in accordance with the laws of Anguilla.

I/we acknowledge that due to anti-money laundering requirements operating in Anguilla, the Fund may require further identification from the me/us before the application can be processed and the

Fund and the Administrator shall be held harmless and indemnified against any loss arising due to the failure to process this application if such information has not been provided by the me/us. I/We hereby confirm that the Fund and the Administrator are each authorized and instructed to accept and execute any instruction in respect to the Shares to which this application relates given by me/us by email. If instructions are given by me/us by email, I/we undertake to forward the original immediately by regular mail to the Directors' postal address. I/We hereby indemnify the Fund and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to each of them as a result of either of them acting on email instructions. The Fund and the Administrator may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instructions, or other instrument believed, in good faith, to be genuine or to be signed by properly authorized persons. I/We acknowledge and consent that any action, proceeding or claim that I/we may bring against the Fund, the Directors, the Administrator, the Investment Manager, and/or its affiliates, shall be limited to the Shares, as the case may be, in which I/we hereby invest.

Name: _____

Signature: _____

Name: _____

Signature: _____

PAYMENT INSTRUCTIONS:

Payment in full for the amount subscribed for the Participating Shares of the Company (not less than AUD\$5,000 in respect of Ten Talents Capital Limited is to be made in Australian Dollars by bank transfer to the Fund's bank account as follows:

	Ten Talents Capital Limited
Bank	Lutheran Laypeople's League
BSB	704-942
Account Name	Ten Talents Capital
Account Number	132949
Reference	Name of Investor

FOR INTERNATIONAL SUBSCRIBERS:

Currency	AUD
Account Number at the Bank	070351
Bank's Name	Westpac Banking Corporation
Account Name	Ten Talents Capital
Reference	Name of Investor
Bank's ABA Number or SWIFT Code	WPACAU2S
Bank's Code Specific to Country	735038

OTHER PARTICULARS:

Mailing Address for Share Registration (if different from above):

.....
.....
.....

Telephone: Fax:

Email Address:

Date of Subscription:

Name and Address of Remitting Bank:

.....
.....
.....

Authorized Signatories

Set forth below are the names of persons authorized by the Subscriber to give and receive instructions between the Fund (or its Administrator) and the Subscriber, together with their respective signatures. Such persons are the only persons so authorized until further written notice to the Administrator signed by one or more of such persons.

(Please attach additional pages if needed)

Name: _____

Signature: _____

Name: _____

Signature: _____

Name: _____

Signature: _____

Name: _____

Signature: _____

Please indicate below how you would like to receive your quarterly statements:

Normal mail

Email

I do not wish to receive a quarterly statement

Important

- 1) Please have your bank identify on the transfer the name of the intended subscriber.
- 2) We recommend that your bank charge its wiring fees (if any) separately so that an even amount may be invested.

In order to facilitate prompt and accurate crediting of subscription payments, you must notify the Directors by email, prior to remitting payment, of the details of the subscription payment, indicating

(i) the name of the Subscriber,

(ii) the amount to be invested,

the approximate date on which the payment was transferred to the Fund's account.

Investor Identification Documentation Requirements

You must attach the following CERTIFIED documents to this Application Form. Refer below to find out who can certify identification documentation.

INDIVIDUAL [INCLUDING INDIVIDUAL TRUSTEE]
Section 1 – A CERTIFIED COPY OF ONE IDENTIFICATION AND ONE PROOF OF ADDRESS DOCUMENT FROM THE FOLLOWING:
<ul style="list-style-type: none">• an Australian driver’s licence containing a photograph and current address of the person; or• an identification card issued by a state or territory that contains the date of birth and a photograph and current address of the card holder; or• a foreign government issued identification card containing a photograph, address and signature of the person; and• an Australian passport; or• a foreign government issued passport or similar travel document containing a photograph and signature of the person• Note: Where a foreign document is not in English, it must be accompanied by an English translation prepared by an accredited translator.
IF YOU CANNOT PROVIDE A DOCUMENT LISTED ABOVE, PLEASE PROVIDE A DOCUMENT FROM SECTION 2(a) AND SECTION 2(b) BELOW
Section 2(a) – A CERTIFIED COPY OF ONE OF THE FOLLOWING:
<ul style="list-style-type: none">• an Australian birth certificate; or• an Australian citizenship certificate; or• a pension card issued by Centrelink; or• a foreign drivers licence that contains a photograph of the person; or• a citizenship certificate issued by a foreign government; or• a birth certificate issued by a foreign government. Where the document is not in English, it must be accompanied by an English translation prepared by an accredited translator.• Note: Where a foreign document is not in English, it must be accompanied by an English translation prepared by an accredited translator.
Section 2(b) – AND ALSO PROVIDE A CERTIFIED COPY OF ONE OF THE FOLLOWING:
<ul style="list-style-type: none">• a notice issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits and contains the individual’s name and residential address, or• a notice issued by the Australian Taxation Office within the preceding 12 months that records a debt payable to or by the person by or to the Commonwealth, or• a notice issued by local government body or utilities provider within the preceding 3 months that records the provision of services to that address or to that person (the notice must contain the individual’s name and residential address).
INDIVIDUAL ACTING IN THE CAPACITY OF A SOLE TRADER
<ul style="list-style-type: none">• a certified copy of a business name search, and• verification documents required for an individual (as listed above)

COMPANY (INCLUDING CORPORATE TRUSTEE)

Australian Companies:

If a private (propriety) company:

- An ASIC Company Extract showing the company name, ACN, registered office address, the names and addresses of the directors and shareholders; or
- A certified copy of the company's certificate of registration or incorporation issued by ASIC PLUS a document setting out the full name and residential address of each director and the full name and residential address of each shareholder who owns, through one or more shareholdings, more than 25% of the company's issued capital.

If a public company:

- An ASIC Company Extract showing the company name, ACN, registered office address, the names and addresses of the directors; or
- A certified copy of the company's certificate of registration or incorporation issued by ASIC.

Foreign Companies:

If a private (propriety) company:

- Where the company is a registered foreign company in Australia, a Company Extract sourced from ASIC showing the company name, whether the company is registered by the relevant foreign registration body and if so whether it is public or private, and the ARBN issued to the company; or
- Where the company is a foreign company not registered in Australia, a Company Extract sourced from the relevant foreign registration body showing the company name, identification number issued by the relevant foreign registration body, registered office address, the names and addresses of the directors and shareholders; or
- A certified copy of the company's certificate of registration or incorporation issued by the relevant foreign registration body PLUS a document setting out the full name and residential address of each director and the full name and residential address of each shareholder who owns, through one or more shareholdings, more than 25% of the company's issued capital.

If a public company:

- Where the company is a registered foreign company in Australia, a Company Extract sourced from ASIC showing the company name, whether the company is registered by the relevant foreign registration body and if so whether it is public or private, and the ARBN issued to the company; or
- Where the company is a foreign company not registered in Australia, a Company Extract sourced from the relevant foreign registration body showing the company name, identification number issued by the relevant foreign registration body, registered office address, the names and addresses of the directors; or
- A certified copy of the company's certificate of registration or incorporation issued by the relevant foreign registration body.

TRUST
For all trusts (including self-managed super funds/ wrap trusts/ master trusts/ IDPS) please provide:
<ul style="list-style-type: none"> • a certified copy of the trust deed or equivalent document, or • a certified extract from the Trust Deed or equivalent document that shows the name of the trust, the name(s) of the Trustee(s), the place of establishment of the trust and identity of the beneficiaries.
<p>Please ALSO provide the following trustee information:</p> <ul style="list-style-type: none"> • if the trustee is an individual, please also provide documentation required for at least one of the individuals (as listed above) or • if the trustee is a company, please also provide documentation required for at least one of the companies (as listed above).

PARTNERSHIP
<ul style="list-style-type: none"> • a certified copy of the current partnership agreement, or • a certified copy of a notice (such as a Notice of Assessment) issued by the Australian Taxation Office within the last 12 months.
<p>Please ALSO provide:</p> <ul style="list-style-type: none"> • the verification documents required for individuals (as listed above) for at least one of the partners

WHO CAN VERIFY YOUR DOCUMENTS	
<ul style="list-style-type: none"> • Judge; • Lawyer; • Notary Public; • Magistrate; • Justice of the Peace; • Police Officer; • A Registrar or Deputy Registrar of a court; 	<ul style="list-style-type: none"> • Finance company officer* • Full-time employee of a bank* • Full-time employee of a post office* • Authorised representative of a holder of an Australian financial services licence* • Australian Consular Officer or Diplomatic Officer
<p>The eligible certifier must include the following information:</p> <ul style="list-style-type: none"> • Their full name; address; telephone number; date of certifying; capacity in which they are eligible to certify; and an official stamp/seal if applicable. <p>The certified copy must include the statement, “I certify this is a true copy of the original document”. For photographic documents, the certified copy must include the statement, “I certify this is a true copy of the original document and the photograph is a true likeness”.</p>	